

# PRE-PUBLICACIONES DEL SEMINARIO MATEMATICO

2001

"GARCÍA DE GALDEANO"

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N.º 40



# JOHN'S DECOMPOSITION OF THE IDENTITY IN THE NON-CONVEX CASE

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ABSTRACT. We prove an extension of the classical John's Theorem, that characterises the ellipsoid of maximal volume position inside a convex body by the existence of some kind of decomposition of the identity, obtaining some results for maximal volume position of a compact and connected set inside a convex set with nonempty interior. By using those results we give some estimates for the outer volume ratio of bodies not necessarily convex.

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## 1. INTRODUCTION AND NOTATION

Throughout this paper, we consider  $\mathbb{R}^n$  with the canonical basis  $(e_1, \dots, e_n)$  and its usual Euclidean structure  $\langle \cdot, \cdot \rangle$ . Let  $B_2^n = \{x \in \mathbb{R}^n; |x| = \langle x, x \rangle^{1/2} \leq 1\}$  be the euclidean ball on  $\mathbb{R}^n$ . If  $K \subseteq \mathbb{R}^n$ , then  $\text{int } K$ ,  $K^c$  and  $\partial K$  will denote the interior, the complementary and the border of  $K$ , respectively;  $\text{conv}(K)$  will be the convex hull of  $K$ ,  $K^0$  will denote the polar of  $K$  with respect to the origin, i.e.  $K^0 = \{y \in \mathbb{R}^n; \langle x, y \rangle \leq 1, \forall x \in K\}$  and  $\text{vol}(K)$  represents the Lebesgue measure on  $\mathbb{R}^n$  of  $K$ .

Following [TJ], if  $K_1 \subseteq K_2 \subseteq \mathbb{R}^n$ , we call a pair  $(x, y) \in \mathbb{R}^n \times \mathbb{R}^n$  a *contact pair* for  $(K_1, K_2)$  if it satisfies:

- (i)  $x \in \partial K_1 \cap \partial K_2$ ,
- (ii)  $y \in \partial K_2^0$
- (iii)  $\langle x, y \rangle = 1$ .

As it is usual  $y \otimes x$  denotes the linear transformation on  $\mathbb{R}^n$  defined by  $y \otimes x(z) = \langle z, y \rangle x$  and  $I_n$  will be the identity map on  $\mathbb{R}^n$ .

John's ellipsoid theorem is a classical tool in the theory of convex bodies; it says how far a convex body is from being an ellipsoid. John showed that each convex body contains a unique ellipsoid of maximal volume and characterised it. The decomposition of the identity associated to this characterisation gives an effective method to introduce an appropriated euclidean structure in finite dimensional normed spaces, when we consider centrally symmetric convex bodies. We can state John's theorem in the following way:

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2000 *Mathematics Subject Classification.* Primary 26B20,52A40.

*Key words and phrases.* convex body, decomposition of the identity, ellipsoid, volume ratio.

Partially supported by a DGES Grant PB97-0994 (Spain).

Supported by a FPU DGES Grant PB97-0994 (Spain).

**Theorem 1.1** ([J], [Ba2], [Ba3]). *Let  $K$  be a convex body in  $\mathbb{R}^n$  and suppose that the euclidean ball  $B_2^n$  is contained in  $K$ , then the following assertions are equivalent:*

- (i)  $B_2^n$  is the ellipsoid of maximal volume contained in  $K$ ,
- (ii) there exist  $\lambda_1, \dots, \lambda_m > 0$  and  $u_1, \dots, u_m \in \partial K \cap \partial B_2^n$ , with  $m \leq n(n+3)/2$  such that  $I_n = \sum_{i=1}^m u_i \otimes u_i$  and  $\sum_{i=1}^m \lambda_i u_i = 0$ .
- (iii)  $B_2^n$  is the unique ellipsoid of maximal volume contained in  $K$ .

One can consider this situation for any general couple of convex bodies  $(K_1, K_2)$  or, even more, for any couple of compact sets in  $\mathbb{R}^n$  instead of  $(B_2^n, K)$ . Suppose that  $K_1$  is a compact set in  $\mathbb{R}^n$  with  $\text{vol}(K_1) > 0$  and  $K_2$  is another compact set in  $\mathbb{R}^n$ , with  $\text{int } K_2 \neq \emptyset$ . A compactness argument shows that there exists an affine position of  $K_1$ , namely  $\tilde{K}_1$ , such that  $\tilde{K}_1 \subseteq K_2$  and

$$\text{vol}(\tilde{K}_1) = \max\{\text{vol}(a + T(K_1)); a + T(K_1) \subseteq K_2, a \in \mathbb{R}^n, T \in GL(n)\}.$$

This position  $\tilde{K}_1$  is called *maximal volume position of  $K_1$  inside  $K_2$* . Very recently, Giannopoulos, Perisinaki and Tsolomitis have considered the convex situation and proved the following

**Theorem 1.2** ([G-P-T]). *Let  $K_1 \subseteq K_2$  be two smooth enough convex bodies in  $\mathbb{R}^n$  such that  $K_1$  is in maximal volume position inside  $K_2$ . Then for every point  $z$  in the interior of  $K_1$ , there exist  $\lambda_1, \dots, \lambda_N > 0$ , with  $N \leq n^2 + n + 1$  and contact pairs for  $(K_1 - z, K_2 - z)$ ,  $(x_i - z, y_i)$ ,  $(1 \leq i \leq N)$  such that:*

- (i)  $\sum_{i=1}^N \lambda_i y_i = 0$  and
- (ii)  $I_n = \sum_{i=1}^N \lambda_i y_i \otimes x_i$ .

Furthermore, if we assume the extra assumption for  $K_1$  to be a polytope and  $K_2$  to have  $\mathcal{C}^{(2)}$  boundary with strictly positive curvature, then a centre  $z$  can be chosen in  $K_1 \setminus \{\text{vertices of } K_1\}$  for which we have (i), (ii) and also (iii)  $\frac{1}{n} \sum_{i=1}^N \lambda_i x_i = z$ .

The special case of considering  $K_1$  and  $K_2$  centrally symmetric convex bodies was first observed by Milman (see [TJ], Theorem 14.5).

The aim of this paper is to extend this result to the non-convex case. We obtain a general result which is valid for  $K_1$  a compact, connected set in  $\mathbb{R}^n$  with  $\text{vol}(K_1) > 0$ ,  $K_1 \subseteq K_2$ , where  $K_2$  is a compact in  $\mathbb{R}^n$  such that  $\text{int conv}(K_2) \neq \emptyset$  and  $K_1$  is in maximal volume position inside  $\text{conv}(K_2)$  (no extra assumptions on the boundary of the bodies are used).

The method we develop to prove our result is different from that in [G-P-T]. We follow the ideas given in [Ba3], with suitable modifications and the main result we achieve is the following

**Theorem 1.3.** *Let  $K_1 \subseteq \mathbb{R}^n$  be a connected, compact set with  $\text{vol}(K_1) > 0$  and  $K_2 \subseteq \mathbb{R}^n$  be a compact set such that  $K_1 \subseteq K_2$ . If  $K_1$  is in maximal volume*

position inside  $\text{conv}(K_2)$ , for every  $z \in \text{int conv}(K_2)$  there exist  $N \in \mathbb{N}$ ,  $N \leq n^2 + n$ ,  $(x_i, y_i)$  contact pairs for  $(K_1 - z, K_2 - z)$  and  $\lambda_i > 0$  for all  $i = 1, \dots, N$  such that:

$$\sum_{k=1}^N \lambda_k y_k \otimes x_k = \frac{1}{n} I_n$$

$$\sum_{k=1}^N \lambda_k y_k = 0.$$

It is well known that if there exists a decomposition of the identity in the sense of theorem 1.3 we can not expect that  $K_1$  were the unique maximal volume position inside  $K_2$  even for convex bodies, as it can be shown by considering simplexes or octahedra inscribed in the cube. Furthermore, an equivalence as it appears in John's Theorem is not true in general. We study this problem and as a consequence we obtain

**Theorem 1.4.** *Let  $K_1 \subseteq \mathbb{R}^n$  be a connected, compact set with  $\text{vol}(K_1) > 0$  and  $K_2 \subseteq \mathbb{R}^n$  be a compact set such that  $K_1 \subseteq K_2$ . Let  $z$  be a fixed point in  $\text{int conv}(K_2)$ . Then the following assumptions are equivalent:*

- (i)  $\text{vol}(K_1) = \max\{\text{vol}(a + S(K_1)); a \in \mathbb{R}^n, a + S(K_1) \subseteq \text{conv}(K_2)\}$ , where  $S$  runs over all symmetric positive definite matrices.
- (ii) There exist  $N \in \mathbb{N}$ ,  $N \leq \frac{n^2+3n}{2}$ ,  $(x_i, y_i)$  contact pairs for  $(K_1 - z, K_2 - z)$  and  $\lambda_i > 0$  for all  $i = 1, \dots, N$  such that:

$$(1) \quad \sum_{k=1}^N \lambda_k (y_k \otimes x_k + x_k \otimes y_k) = \frac{1}{n} I_n$$

$$\sum_{k=1}^N \lambda_k y_k = 0.$$

- (iii)  $K_1$  is the unique position of  $K_1$  verifying (i).

In section 3 we extend the upper estimates of the volume ratio proved in [G-P-T] by defining the outer volume ratio of a compact  $K_1$  with respect to a convex body  $K_2$ , by considering an appropriate index. We follow the methods that appears in [G-P-T] by using Brascamp-Lieb and reverse Brascamp-Lieb inequalities as the main tools.

## 2. PROOFS OF MAIN THEOREMS

Throughout this section  $K_1$  will be a connected, compact set in  $\mathbb{R}^n$  with  $\text{vol}(K_1) > 0$  and  $K_2$  will be a compact in  $\mathbb{R}^n$  such that  $K_1 \subseteq K_2$ . Theorem 1.3 gives us a sufficient condition for the existence of *some kind* of John's decomposition of the identity and it follows the spirit of the work of K.M. Ball (see for instance [Ba2] or [Ba3]).

**Proof of theorem 1.3:** First of all, notice that  $\text{int conv}(K_2) \neq \emptyset$ , since  $K_1 \subseteq \text{conv}(K_2)$  and  $\text{vol}(K_1) > 0$ . Without loss of generality we can assume that  $z = 0$ . Furthermore, since  $K_1 \subseteq K_2$  and  $\text{conv}(K_2)^0 = K_2^0$  a contact pair for

$(K_1, \text{conv}(K_2))$  is also a contact pair for  $(K_1, K_2)$ , so, we may suppose  $\text{conv}(K_2) = K_2$ .

Let  $\mathcal{A} = \{(y \otimes x, y) \in \mathcal{L}(\mathbb{R}^n, \mathbb{R}^n) \times \mathbb{R}^n; (x, y) \text{ is a contact pair for } (K_1, K_2)\}$ . By using the maximality of the volume of  $K_1$ , the convexity of  $K_2$  and since  $0 \in \text{int } K_2$  it is easy to prove that  $\mathcal{A}$  is a non empty subset of  $\mathcal{L}(\mathbb{R}^n, \mathbb{R}^n) \times \mathbb{R}^n$ . We will show that  $(\frac{1}{n}I_n, 0) \in \text{conv}(\mathcal{A})$ , where  $\text{conv}(\mathcal{A})$  is the convex hull of  $\mathcal{A}$  in  $\mathcal{L}(\mathbb{R}^n, \mathbb{R}^n) \times \mathbb{R}^n$ .

Suppose that  $(\frac{1}{n}I_n, 0) \notin \text{conv}(\mathcal{A})$ . Then by using a separation theorem, there exist  $H \in \mathcal{L}(\mathbb{R}^n, \mathbb{R}^n)$  and  $b \in \mathbb{R}^n$  such that:

$$\left\langle \frac{1}{n}I_n, H \right\rangle_{\text{tr}} + \langle 0, b \rangle > \langle y \otimes x, H \rangle_{\text{tr}} + \langle b, y \rangle$$

for all  $(x, y)$  contact pair and where  $\langle \cdot, \cdot \rangle_{\text{tr}}$  denotes the trace duality on  $\mathcal{L}(\mathbb{R}^n, \mathbb{R}^n)$ , i.e.  $\langle T, S \rangle_{\text{tr}} = \text{tr } ST$ .

Thus for every contact pair  $(x, y)$

$$(2) \quad \frac{1}{n} \text{tr } H > \langle Hx, y \rangle + \langle b, y \rangle.$$

There is no loss of generality to assume  $\text{tr } H = 0$ . Indeed, we can choose  $\tilde{H} = H - \frac{\text{tr } H}{n}I_n \in \mathcal{L}(\mathbb{R}^n, \mathbb{R}^n)$ , which is a linear operator with trace zero that verifies:

$$\langle \tilde{H}x, y \rangle + \langle b, y \rangle = \langle Hx, y \rangle - \frac{\text{tr } H}{n} \langle x, y \rangle + \langle b, y \rangle < 0 = \frac{\text{tr } \tilde{H}}{n}$$

for all  $(x, y)$  contact pair. By using the linear map defined by the matrix  $H$  and  $b \in \mathbb{R}^n$  we are going to construct a family of affine maps  $S_\delta$ 's, with  $0 < \delta < \delta_1$ , such that  $|\det S_\delta| \geq 1$  and  $S_\delta(K_1) \subseteq \text{int } K_2$ , which contradicts the maximality of the volume of  $K_1$ . We will divide the proof of that fact into 3 steps.

By continuity, there exists a positive number  $\delta_0 > 0$  such that  $I_n - \delta H$  is invertible for all  $0 < \delta < \delta_0$ . For each  $0 < \delta < \delta_0$  we take  $S_\delta : \mathbb{R}^n \rightarrow \mathbb{R}^n$  defined by  $S_\delta(z) = (I_n - \delta H)^{-1}(z) + \delta(I_n - \delta H)^{-1}(b)$ .

**Step 1:** *There exists  $0 < \delta_1 \leq \delta_0$  such that  $S_\delta(K_1) \cap \partial K_2 = \emptyset$ , for all  $0 < \delta < \delta_1$ .*

Consider

$$M = \{x \in \partial K_2; \exists y \in K_2^0 \text{ such that } \langle x, y \rangle = 1 \text{ and } \langle Hx, y \rangle + \langle b, y \rangle \geq 0\}.$$

It is easy to check that  $M$  is a compact subset of  $\partial K_2$  and also  $M \cap \partial K_1 = \emptyset$ . If there exists an  $x \in M \cap \partial K_1 \subseteq \partial K_2 \cap \partial K_1$ , there would exist  $y \in K_2^0$  such that  $\langle x, y \rangle = 1$  (so  $(x, y)$  is a contact pair) and  $\langle Hx, y \rangle + \langle b, y \rangle \geq 0 = \text{tr } H$  which would contradict (2). Therefore  $M \subseteq K_1^c$ ; by compactness of  $M$  and by continuity, there exists  $0 < \delta_1 (\leq \delta_0)$  such that  $(I_n - \delta H)(M) - \delta b \subseteq K_1^c$ , for all  $0 < \delta < \delta_1$ , and so  $S_\delta(K_1) \cap M = \emptyset$ .

Now let  $x \in \partial K_2$ . We will prove that  $x \notin S_\delta(K_1)$ . We only have to consider the case  $x \notin M$ . Then

$$\langle Hx, y \rangle + \langle b, y \rangle < 0$$

for all  $y \in K_2^0$  such that  $\langle x, y \rangle = 1$ . Since  $0 \in \text{int } K_2$  and  $K_2$  is a convex body there exists  $y_0 \in K_2^0$  such that  $\langle x, y_0 \rangle = 1$ , so we have that

$$\langle x - \delta Hx - \delta b, y_0 \rangle = 1 - \delta(\langle Hx, y_0 \rangle + \langle b, y_0 \rangle) > 1$$

for all  $\delta > 0$  and in particular for all  $0 < \delta < \delta_1$ . Hence  $x - \delta Hx - \delta b \notin K_1$ , or equivalently  $x \notin S_\delta(K_1)$ .

**Step 2:** For every  $0 < \delta < \delta_1$  there exists  $\lambda_\delta > 1$  such that  $\lambda_\delta S_\delta(K_1) \subseteq \text{int } K_2$ .

Note that  $S_\delta(K_1)$  is connected and  $S_\delta(K_1) \cap \partial K_2 = \emptyset$ , therefore either  $S_\delta(K_1) \subseteq \text{int } K_2$  or  $S_\delta(K_1) \subseteq K_2^c$ . Fix  $x \in K_1 \cap \text{int } K_2$ , it exists since  $\text{vol}(K_1) \neq 0$ , and take

$$C_x = \{S_\delta(x); 0 \leq \delta < \delta_1\}.$$

It is easy to check that  $C_x$  is connected,  $C_x \cap \partial K_2 = \emptyset$ ,  $C_x \cap \text{int } K_2 \neq \emptyset$  and  $C_x \subseteq \text{int } K_2$ . Therefore  $S_\delta(K_1) \cap \text{int } K_2 \neq \emptyset$  and by connectedness of  $K_1$  we conclude that  $S_\delta(K_1) \subseteq \text{int } K_2$ , for all  $0 < \delta < \delta_1$ . Now, by a compactness argument and the fact that  $S_\delta(K_1) \subseteq \text{int } K_2$  we conclude that for every  $0 < \delta < \delta_1$  there exists  $\lambda_\delta > 1$  such that  $\lambda_\delta S_\delta(K_1) \subseteq \text{int } K_2$ .

**Step 3:**  $\text{vol}(\lambda_\delta S_\delta(K_1)) > \text{vol}(K_1)$ , for all  $0 < \delta < \delta_1$ .

Indeed,

$$\text{vol}(\lambda_\delta S_\delta(K_1)) = \frac{\lambda_\delta^n \text{vol}(K_1)}{|\det(I_n - \delta H)|}.$$

Now, by using the inequality between arithmetic mean and geometric mean (denoted briefly *AM-GM inequality*) we obtain that  $|\det(I_n - \delta H)|^{\frac{1}{n}} \leq \frac{1}{n} \text{tr}(I_n - \delta H) = 1$ , and so

$$\text{vol}(\lambda_\delta S_\delta(K_1)) \geq \lambda_\delta^n \text{vol}(K_1) > \text{vol}(K_1).$$

Therefore, if  $(\frac{1}{n}I_n, 0) \notin \text{conv } \mathcal{A}$ , then  $K_1$  is not in *maximal volume position* inside  $K_2$ .

Note that the fact that  $N \leq n^2 + n$  is deduced from the classical Caratheodory's theorem since  $\{(y \otimes x - \frac{1}{n}I_n, y); (x, y) \text{ is a contact pair}\}$  is contained in a  $(n^2 + n - 1)$  dimensional vector space. □

*Remark 2.1.*

- (1) For every  $K \subseteq \mathbb{R}^n$  with  $\text{vol}(K) > 0$  it is easy to check that  $K$  is in maximal volume position inside  $\text{conv}(K)$ .
- (2) We note that  $K_1 \subseteq K_2$  and  $K_1$  is in maximal volume position inside  $\text{conv}(K_2)$  implies that  $K_1$  is in maximal volume position inside  $K_2$ . The converse is not true, as the following example shows. Consider

$$\begin{aligned} K_1 &= \{x \in \mathbb{R}^n; \|x\|_\infty = \max_{1 \leq i \leq n} |x_i| \leq 1\} \\ K_2 &= K_1 \cup 2\partial K_1. \end{aligned}$$

It is trivial to see that  $K_1$  is in maximal volume position inside  $K_2$ ,  $K_1$  is not in maximal volume position inside  $\text{conv}(K_2)$  and there is no decomposition of the identity as before, since there are no contact pairs for  $(K_1, K_2)$ .

**Corollary 2.2.** *Let  $K_1 \subseteq K_2 \subseteq \mathbb{R}^n$  be as in the theorem 1.3. If  $\text{conv}(K_1)$  is a polytope,  $\text{conv}(K_2)$  has  $\mathcal{C}^{(2)}$  boundary with strictly positive curvature and  $K_1$  is maximal volume position inside  $\text{conv}(K_2)$ , then there exist  $z \in \text{conv}(K_1)$ ,  $N \in \mathbb{N}$ ,  $N \leq n^2 + n$ ,  $(x_k, y_k)$  contact pairs of  $(K_1 - z, K_2 - z)$  and  $\lambda_k > 0$  for all*

$k = 1, \dots, N$  such that

$$\sum_{k=1}^N \lambda_k y_k \otimes x_k = \frac{1}{n} I_n$$

$$\sum_{k=1}^N \lambda_k y_k = \sum_{k=1}^N \lambda_k x_k = 0.$$

*Proof.* It is easy to prove that the fact that  $K_1$  is in maximal volume position inside  $\text{conv}(K_2)$  implies that  $\text{conv}(K_1)$  is in maximal volume position inside  $\text{conv}(K_2)$  and  $\partial K_1 \cap \partial(\text{conv}(K_2)) = \partial(\text{conv}(K_1)) \cap \partial(\text{conv}(K_2))$ . Therefore we can assume that  $K_1$  is a polytope and  $K_2$  is a convex which has a  $\mathcal{C}^{(2)}$  boundary with strictly positive curvature. But notice that this case was studied by A. Giannopoulos, I. Perissinaki and A. Tsolomitis (see [G-P-T]) concluding the result needed.  $\square$

Now we can ask if the existence of some kind of decomposition of the identity in  $\mathbb{R}^n$  would imply that  $K_1$  were the unique maximal volume position inside  $K_2$ , as it happens in the classical John's Theorem. It is well known that we can't expect such a thing, simply by considering simplices or octahedra inscribed in the cube. Theorem 1.4 shows, loosely speaking, that not only the existence of a "modified" *John's decomposition of the identity* for a pair  $(K_1, K_2)$  implies that  $K_1$  is the unique "pseudo" maximal volume position inside  $K_2$ , but also that this "pseudo" maximality implies the existence of a "modified" *decomposition of the identity* too.

**Proof of Theorem 1.4:** As before, we can show that  $\text{int conv}(K_2) \neq \emptyset$ . We can also assume  $z = 0$  and  $K_2$  convex.

(i)  $\Rightarrow$  (ii) Let  $\mathcal{B} = \{(\frac{1}{2}(y \otimes x + x \otimes y), y) \in \mathcal{L}(\mathbb{R}^n, \mathbb{R}^n) \times \mathbb{R}^n; (x, y) \text{ is a contact pair}\}$ . By using the maximality of the volume of  $K_1$ , the convexity of  $K_2$  and since  $0 \in \text{int } K_2$  it is easy to prove that  $\mathcal{B}$  is a non empty subset of  $\mathcal{L}(\mathbb{R}^n, \mathbb{R}^n) \times \mathbb{R}^n$ . As in the proof of theorem 1.3, we will show that  $(\frac{1}{n} I_n, 0) \in \text{conv}(\mathcal{B})$ .

Suppose, on the contrary, that  $(\frac{1}{n} I_n, 0) \notin \text{conv}(\mathcal{B})$ . Then by using a separation theorem, there exist  $H \in \mathcal{L}(\mathbb{R}^n, \mathbb{R}^n)$  and  $\theta \in \mathbb{R}^n$  such that:

$$\langle \frac{1}{n} I_n, H \rangle_{\text{tr}} + \langle 0, \theta \rangle > \frac{1}{2} (\langle y \otimes x, H \rangle_{\text{tr}} + \langle x \otimes y, H \rangle_{\text{tr}}) + \langle \theta, y \rangle$$

for all  $(x, y)$  contact pair. Therefore

$$\frac{1}{n} \text{tr } H > \frac{1}{2} (\langle Hx, y \rangle + \langle x, Hy \rangle) + \langle \theta, y \rangle.$$

There is no loss of generality to assume that:

- (1)  $H$  is a symmetric matrix because in other case we could take  $\tilde{H} = \frac{1}{2}(H + H^*)$  which is a symmetric matrix that verifies that  $\langle \tilde{H}x, y \rangle + \langle x, \tilde{H}y \rangle = \langle Hx, y \rangle + \langle x, Hy \rangle$  and therefore for every contact pair  $(x, y)$

$$\frac{1}{n} \text{tr } H > \langle Hx, y \rangle + \langle \theta, y \rangle.$$

- (2)  $\text{tr } H = 0$  since in other case we can choose  $\tilde{H} = H - \frac{\text{tr } H}{n} I_n \in \mathcal{L}(\mathbb{R}^n, \mathbb{R}^n)$  which is a linear operator with trace zero that verifies:

$$\langle \tilde{H}x, y \rangle + \langle \theta, y \rangle = \langle Hx, y \rangle - \frac{\text{tr } H}{n} \langle x, y \rangle + \langle \theta, y \rangle < 0 = \frac{\text{tr } \tilde{H}}{n}$$

for all  $(x, y)$  contact pair.

Therefore there exist a symmetric matrix  $H \in \mathcal{L}(\mathbb{R}^n, \mathbb{R}^n)$  with  $\text{tr } H = 0$  and  $\theta \in \mathbb{R}^n$  such that:

$$0 > \langle Hx, y \rangle + \langle \theta, y \rangle$$

for all  $(x, y)$  contact pair. By using the linear map defined by the matrix  $H$  and  $\theta \in \mathbb{R}^n$  we are going to construct a family of affine maps  $T_\delta(\cdot) = S_\delta(\cdot) + b_\delta$ , with  $S_\delta$  symmetric positive definite matrix for all  $0 < \delta < \delta_1$ , such that  $|\det S_\delta| \geq 1$  and  $T_\delta(K_1) \subseteq \text{int } K_2$ , which contradicts the maximality of  $K_1$ .

By continuity, there exists a positive number  $\delta_0 > 0$  such that  $I_n - \delta H$  is invertible and symmetric positive definite for all  $0 < \delta < \delta_0$ . For each  $0 < \delta < \delta_0$  we take  $T_\delta : \mathbb{R}^n \rightarrow \mathbb{R}^n$  defined by  $T_\delta(z) = (I_n - \delta H)^{-1}(z) + \delta(I_n - \delta H)^{-1}(\theta)$ . By the same methods as in the proof of Theorem 1.3, we can show that:

- (1) There exists  $0 < \delta_1 \leq \delta_0$  such that  $T_\delta(K_1) \cap \partial K_2 = \emptyset$ , for all  $0 < \delta < \delta_1$ .
- (2) For every  $0 < \delta < \delta_1$  there exists  $\lambda_\delta > 1$  such that  $\lambda_\delta T_\delta(K_1) \subseteq \text{int } K_2$ .
- (3)  $\text{vol}(\lambda_\delta T_\delta(K_1)) > \text{vol}(K_1)$ , for all  $0 < \delta < \delta_1$ .

Note that these assertions contradict the maximality of  $K_1$ .

(ii)  $\Rightarrow$  (iii) Let  $T(\cdot) = S(\cdot) + a$  be such that  $T(K_1) \subseteq K_2$ ,  $a \in \mathbb{R}^n$  and  $S$  is a symmetric positive definite matrix. It is well known that we can find an orthogonal matrix  $U \in O(n)$  and a diagonal matrix  $D$  with diagonal elements  $\alpha_1, \dots, \alpha_n > 0$  such that  $S = U^* D U$  and therefore

$$\text{vol}(T(K_1)) = |\det(U^* D U)| \text{vol}(K_1) = \left( \prod_{k=1}^n \alpha_k \right) \text{vol}(K_1).$$

Hence we have to estimate  $\prod \alpha_k$ . On the one hand, we obtain that

$$\langle U^* D U x, y \rangle = \sum_{j=1}^n \alpha_j \langle U^* e_j, x \rangle \langle U^* e_j, y \rangle$$

for all  $x, y \in \mathbb{R}^n$ , by straightforward computation.

On the other hand, if  $(x, y)$  is a contact pair then  $\langle Tx, y \rangle \leq 1$  and therefore

$$\begin{aligned} 1 &= \sum_{k=1}^N \lambda_k \geq \sum_{k=1}^N \lambda_k \langle Tx_k, y_k \rangle = \sum_{k=1}^N \lambda_k \langle U^* D U x_k, y_k \rangle \\ &= \sum_{k=1}^N \lambda_k \sum_{j=1}^n \alpha_j \langle U^* e_j, x_k \rangle \langle U^* e_j, y_k \rangle = \sum_{j=1}^n \left( \alpha_j \sum_{k=1}^N \lambda_k \langle U^* e_j, x_k \rangle \langle U^* e_j, y_k \rangle \right) \\ &= \frac{1}{n} \sum_{j=1}^n \alpha_j \langle U^* e_j, U^* e_j \rangle = \frac{1}{n} \sum_{j=1}^n \alpha_j. \end{aligned}$$

Now by using the AM-GM inequality, we conclude that  $1 \geq \frac{1}{n} \sum \alpha_j \geq (\prod \alpha_j)^{\frac{1}{n}}$ , which implies that in (2) we obtain  $\text{vol}(T(K_1)) \leq \text{vol}(K_1)$ .

In addition to this, note that if  $T$  is such that  $\text{vol}(T(K_1)) = \text{vol}(K_1)$ , then, by the equality case in the AM-GM inequality we would have that  $\alpha_1 = \dots = \alpha_n = 1$ , so  $T = I_n + a$ . Therefore we would obtain that

$$1 \geq \langle Tx, y \rangle = \langle x + a, y \rangle = 1 + \langle a, y \rangle$$

for all  $(x, y)$  contact pair and, in particular,  $\langle a, y_k \rangle \leq 0$  for all  $(x_k, y_k)$  contact pair that appears in the *decomposition of the identity*. But we also would have that:

$$\sum_{k=1}^N \lambda_k \langle a, y_k \rangle = \sum_{k=1}^N \langle a, \lambda_k y_k \rangle = 0$$

which would imply that,  $\langle a, y_k \rangle = 0$  for all  $(x_k, y_k)$  and then we would conclude that

$$\frac{1}{n} \langle a, a \rangle = \sum_{k=1}^N \lambda_k \langle a, y_k \rangle \langle a, x_k \rangle = 0.$$

Hence  $T = I_n$ . □

**Corollary 2.3.** *Let  $K_1 \subseteq K_2$  be as in theorem 1.3 Fix  $z \in \text{int conv}(K_2)$ . Then the following assumptions are equivalent:*

- (i)  $\text{vol}(K_1) = \max\{\text{vol}(a + S(K_1)); a \in \mathbb{R}^n, a + S(K_1) \subseteq \text{conv}(K_2)\}$ , where  $S$  runs over all symmetric positive definite matrices.
- (ii) For every  $S \in GL(n)$  symmetric matrix and every  $\theta \in \mathbb{R}^n$  there exists a contact pair  $(x, y)$  for  $(K_1 - z, K_2 - z)$  such that

$$\frac{\text{tr } S}{n} \leq \langle Sx, y \rangle + \langle \theta, y \rangle.$$

*Proof.* As before, we can show that  $\text{int conv}(K_2) \neq \emptyset$ . We can also assume  $z = 0$  and  $K_2$  convex.

(i)  $\Rightarrow$  (ii) By Theorem 1.4 there exist  $(x_i, y_i)$  contact pairs for  $(K_1 - z, K_2 - z)$  and  $\lambda_i > 0$  for all  $i = 1, \dots, N$  such that:

$$\sum_{k=1}^N \lambda_k (y_k \otimes x_k + x_k \otimes y_k) = \frac{1}{n} I_n \quad \text{and} \quad \sum_{k=1}^N \lambda_k y_k = 0.$$

Suppose that there would exist  $S \in GL(n)$  symmetric matrix and  $\theta \in \mathbb{R}^n$  such that for every  $(x, y)$  contact pair

$$\frac{\text{tr } S}{n} > \langle Sx, y \rangle + \langle \theta, y \rangle.$$

Therefore

$$\begin{aligned} \frac{\text{tr } S}{n} &= \frac{\text{tr } S}{n} + \langle \theta, \sum_{i=1}^N \lambda_i y_i \rangle = \langle (S, \theta), (\frac{1}{n} I_n, \sum_{i=1}^N \lambda_i y_k) \rangle = \\ &= \sum_{i=1}^N \lambda_i \langle (S, \theta), (\frac{1}{2} (y_i \otimes x_i + x_i \otimes y_i), y_i) \rangle = \sum_{i=1}^N \lambda_i (\langle Sx_i, y_i \rangle + \langle \theta, y_k \rangle) \\ &< \sum_{i=1}^N \lambda_i \frac{\text{tr } S}{n} = \frac{\text{tr } S}{n} \end{aligned}$$

which leads us to a contradiction.

(ii)  $\Rightarrow$  (i) By using the hypothesis, for every  $H \in GL(n)$ ,  $\theta \in \mathbb{R}^n$ , there exists a contact pair such that

$$\frac{1}{n} \operatorname{tr} H > \frac{1}{2} (\langle Hx, y \rangle + \langle x, Hy \rangle) + \langle \theta, y \rangle$$

which make that  $(\frac{1}{n}, 0) \in \operatorname{conv}(\{(\frac{1}{2}(y \otimes x + x \otimes y), y) \in \mathcal{L}(\mathbb{R}^n, \mathbb{R}^n) \times \mathbb{R}^n; \text{ where } (x, y) \text{ is a contact pair}\})$   $\square$

*Remark 2.4.*

- (1) If  $K_1$  is in maximal volume position inside  $\operatorname{conv}(K_2)$ , then  $K_1$  is unique if we only consider affine transformations given by symmetric, positive definite matrices. Indeed, this is due to the fact that  $\frac{1}{n}I_n = \sum_{k=1}^N \lambda_k y_k \otimes x_k$  implies that  $\frac{1}{n}I_n = \sum_{k=1}^N \lambda_k x_k \otimes y_k$ .
- (2) If we suppose either  $K_1 = B_2^n$  or  $\operatorname{conv}(K_2) = B_2^n$  in the last theorem, we obtain a stronger conclusion, since the existence of contact pairs  $(x_k, y_k)$  and  $\lambda_k > 0$  such that

$$\sum_{k=1}^N \frac{\lambda_k}{2} (y_k \otimes x_k + x_k \otimes y_k) = \frac{1}{n}I_n \quad \text{and} \quad \sum_{k=1}^N \lambda_k y_k = 0$$

is equivalent to the fact that  $\operatorname{vol}(K_1) = \max\{\operatorname{vol}(a + T(K_1)) \text{ such that } a + T(K_1) \subseteq \operatorname{conv}(K_2), a \in \mathbb{R}^n \text{ and } T \in GL(n)\}$  and this maximum is only attained at  $K_1$ , up to orthogonal transformation (i.e. if  $\operatorname{vol}(T(K_1)) = \operatorname{vol}(K_1)$ , then  $T$  is an orthogonal transformation). This is the classical John's result. Let's see it briefly.

Suppose that there exists a *decomposition of the identity* (in the sense of (1)). If we take  $\operatorname{conv}(K_2) = B_2^n$  and  $T$  is an affine transformation such that  $T(K_1) \subseteq B_2^n$ , then there exist orthogonal matrices  $U, V$ , a diagonal matrix  $D$  with diagonal elements  $\alpha_1, \dots, \alpha_n > 0$  and  $a \in \mathbb{R}^n$  such that  $T(\cdot) = VDU(\cdot) + a$ . Now if we choose  $\tilde{T}(\cdot) = U^*DU(\cdot) + (VU)^*(a)$  then it is easy to check that this map verifies:

- (a)  $U^*DU$  is a symmetric positive definite matrix.
- (b)  $\tilde{T}(K_1) \subseteq (VU)^*(B_2^n) = B_2^n$  (since  $\tilde{T}(\cdot) = (VU)^*T(\cdot)$ ).
- (c)  $\operatorname{vol}(\tilde{T}(K_1)) = \operatorname{vol}(T(K_1))$ .

Therefore by using (ii)  $\Rightarrow$  (iii) in theorem 1.4 and since  $\tilde{T}$  satisfies (a) and (b) we conclude that

$$\operatorname{vol}(T(K_1)) = \operatorname{vol}(\tilde{T}(K_1)) \leq \operatorname{vol}(K_1)$$

and the equality is only attained if  $\tilde{T} = I_n$ , and so  $T$  is an orthogonal transformation.

Note that a similar reasoning can be applied to the case  $K_1 = B_2^n$ .

### 3. SOME ESTIMATES FOR THE OUTER VOLUME RATIO OF COMPACT SETS

We can extend the notion of *volume ratio* to a pair  $(K_1, K_2) \subseteq \mathbb{R}^n \times \mathbb{R}^n$ , where  $K_2$  is a convex body and  $K_1$  is a compact set with  $\text{vol}(K_1) > 0$ , simply by

**Definition 3.1.** Let  $K_1 \subseteq \mathbb{R}^n$  be compact set with  $\text{vol}(K_1) > 0$  and  $K_2 \subseteq \mathbb{R}^n$  be a convex body. We define *outer volume ratio* as

$$vr(K_2; K_1) = \inf \left\{ \frac{\text{vol}(K_2)^{\frac{1}{n}}}{\text{vol}(T(K_1))^{\frac{1}{n}}}; T \text{ affine transformation with } T(K_1) \subseteq K_2 \right\}.$$

It is quite easy to show that we cannot expect any upper estimate without assuming extra assumptions. We are going to introduce an index for compact sets with positive volume in order to get general bounds, depending only on the dimension and on the index, for the outer volume ratio with respect to a convex body.

We recall that a set  $K \subseteq \mathbb{R}^n$  is  $p$ -convex, ( $0 < p \leq 1$ ) if  $\lambda x + \mu y \in K$ , for every  $x, y \in K$  and for every  $\lambda, \mu \geq 0$  such that  $\lambda^p + \mu^p = 1$ . The  $p$ -convex hull of a set  $K$ , which we denote by  $p\text{-conv}(K)$ , is defined as the intersection of all  $p$ -convex sets that contain  $K$ . It is easy to see that  $0 \in \overline{p\text{-conv}(K)}$ .

**Definition 3.2.** Let  $K \subseteq \mathbb{R}^n$  a compact set. We define  $p(K)$  as

$$p(K) = \begin{cases} \sup \{p \in (0, 1]; \exists a \in \mathbb{R}^n \text{ with } p\text{-conv}\{(\text{ext}K) - a\} \subseteq K - a\} & \text{if it exists} \\ 0 & \text{otherwise} \end{cases}$$

where  $\text{ext}K$  denotes the set of extreme points of  $K$ .

*Remark 3.3.*

- (1) If  $p \in (0, 1]$  verifies that there exist an  $a \in \mathbb{R}^n$  such that  $p\text{-conv}\{(\text{ext}K) - a\} \subseteq K - a$  then  $a \in K$ , since 0 is inside the closure of  $p\text{-conv}\{(\text{ext}K) - a\}$ , which is embedded in  $K - a$  and so  $a \in K$ .
- (2)  $p(K)$  is an affine invariant of  $K$ , i.e. if  $T = a + S$  is an affine transformation on  $\mathbb{R}^n$  with  $a \in \mathbb{R}^n$  and  $S \in GL(n)$  then  $p(T(K)) = p(K)$ .
- (3) The supremum in the last definition can be replaced by maximum, simply by using compactness and continuity arguments.
- (4) If  $K$  is a  $p$ -convex body with  $0 < p \leq 1$  then  $p(K) \geq p$ , but if  $0 < p < 1$  then there are compact sets  $K$  with  $p(K) \geq p$  which are not  $p$ -convex. Notice that  $p(K) = 1$  if and only if  $K$  is convex, simply by using Krein-Milman's theorem.

Now we are going to state and prove some upper estimates for the volume ratio of a pair  $(K_1, K_2)$  where  $K_1$  is a compact set with  $\text{vol}(K_1) > 0$  and  $p(K_1) > 0$ , and  $K_2$  is a convex body. We can assume that  $K_1$  is in maximal volume position inside  $K_2$ , since in other case, there would exist an affine transformation  $T$  such that  $T(K_1)$  would be in maximal volume position inside  $K_2$  and therefore  $K_1$  would work with the pair  $(T(K_1), K_2)$ . Hence if  $p(K_1) = p$ ,

$$vr(K_2; K_1) = \frac{\text{vol}(K_2)^{\frac{1}{n}}}{\text{vol}(K_1)^{\frac{1}{n}}} \leq \frac{\text{vol}(K_2)^{\frac{1}{n}}}{\text{vol}(p\text{-conv}\{(\text{ext}K_1) - a\})^{\frac{1}{n}}}$$

for some  $a \in K_1$ . Therefore

$$vr(K_2; K_1) \leq \frac{\text{vol}(K_2 - a)^{\frac{1}{n}}}{\text{vol}(\text{conv}\{(\text{ext}K_1) - a\})^{\frac{1}{n}}} \frac{\text{vol}(\text{conv}\{(\text{ext}K_1) - a\})^{\frac{1}{n}}}{\text{vol}(p - \text{conv}\{(\text{ext}K_1) - a\})^{\frac{1}{n}}}.$$

It can be shown that  $\text{conv}\{(\text{ext}K_1) - a\} = \text{conv}(K_1 - a)$  and since  $\text{conv}(K_1 - a)$  is in maximal volume position inside  $K_2 - a$  we get

$$vr(K_2; K_1) \leq vr(K_2; \text{conv}(K_1)) \frac{\text{vol}(\text{conv}\{(\text{ext}K_1) - a\})^{\frac{1}{n}}}{\text{vol}(p - \text{conv}\{(\text{ext}K_1) - a\})^{\frac{1}{n}}}.$$

It is easy to check that  $\text{conv}\{(\text{ext}K_1) - a\} \subseteq n^{\frac{1}{p}-1}(p - \text{conv}\{(\text{ext}K) - a\})$ . Indeed, since  $a \in K_1$  then

$$\text{conv}\{(\text{ext}K_1) - a\} = \text{conv}(K_1 - a) = \text{conv}\{\cup_{x \in K_1} [0, x - a]\}$$

and we can use a stronger version of Caratheodory's theorem appearing in [E] that asserts that for every  $x \in \text{conv}\{(\text{ext}K_1) - a\}$  there exist  $x_i \in (\text{ext}K) - a$  and  $\alpha_i \geq 0$ ,  $i = 1, \dots, n$  such that  $x = \sum_{i=1}^n \alpha_i x_i$  and  $\sum_{i=1}^n \alpha_i = 1$ . Therefore

$$\left( \sum_{i=1}^n \alpha_i^p \right)^{\frac{1}{p}} \leq n^{\frac{1}{p}-1} \sum_{i=1}^n \alpha_i,$$

which implies that  $x \in n^{\frac{1}{p}-1}p - \text{conv}\{(\text{ext}K_1) - a\}$ . On the other hand a result of Giannopoulos, Perissinaki and Tsolomitis (see [G-P-T]) shows that  $vr(K_2; \text{conv}\{(\text{ext}K_1) - a\}) \leq n$  and thus we summarize all these things in the following result

**Proposition 3.4.** *Let  $K_1, K_2 \subseteq \mathbb{R}^n$  be such that  $K_1$  is a compact set with  $\text{vol}(K_1) > 0$ ,  $p(K_1) = p > 0$  and  $K_2$  a convex body. Then*

$$vr(K_2; K_1) \leq n^{\frac{1}{p}}.$$

Next we are going to prove that if  $K_1$  or  $K_2$  has some kind of symmetry properties then this general estimate can be slightly improved by using decompositions of the identity in the sense of theorem 1.3, following the spirit of K.M. Ball (see [Ba1]) and A. Giannopoulos, I. Perissinaki, A. Tsolomitis ([G-P-T]). We start with a result which can be found in [G-P-T] and whose proof involves Cauchy-Binet formula.

**Lemma 3.5.** *Let  $\lambda_1, \dots, \lambda_N > 0$ . Let  $x_1, \dots, x_N$  and  $y_1, \dots, y_N$  be vectors in  $\mathbb{R}^n$  satisfying  $\langle x_k, y_k \rangle = 1$ , for all  $k = 1, \dots, N$  and  $\sum_{k=1}^N \lambda_k y_k \otimes x_k = I_n$ . Then  $D_x D_y \geq 1$ , where  $D_x$  and  $D_y$  are defined by*

$$(3) \quad D_x = \inf \left\{ \frac{\det(\sum_{k=1}^N \lambda_k \alpha_k x_k \otimes x_k)}{\prod_{k=1}^N \alpha_k^{\lambda_k}}; \alpha_k > 0, k = 1, \dots, N \right\}$$

$$(4) \quad D_y = \inf \left\{ \frac{\det(\sum_{k=1}^N \lambda_k \alpha_k y_k \otimes y_k)}{\prod_{k=1}^N \alpha_k^{\lambda_k}}; \alpha_k > 0, k = 1, \dots, N \right\}.$$

**Proposition 3.6.** *Let  $K_1, K_2 \subseteq \mathbb{R}^n$  be such that  $K_1$  is a symmetric compact set with  $\text{vol}(K_1) > 0$ ,  $p(K_1) = p > 0$  and  $K_2$  is a symmetric convex body. Then*

$$vr(K_2; K_1) \leq n!^{\frac{1}{n}} n^{\frac{1}{p}-1}.$$

*Proof.* First of all it is easy to check that we can assume that  $K_1$  and  $K_2$  are centrally symmetric and so it is  $\text{ext} K_1$ . By using the same arguments than before we conclude that

$$vr(K_2; K_1) \leq vr(K_2; \text{conv}(K_1)) n^{1/p-1}.$$

Next we are going to give an upper estimate for  $vr(K_2; L)$ , where  $K_2$  and  $L = \text{conv}(K_1)$  are centrally symmetric convex bodies and  $L$  is in maximal volume position inside  $K_2$ .

By using theorem 1.3, we can find contact pairs  $(x_i, y_i)$  and  $\lambda_i > 0$ , for all  $i = 1, \dots, N$ ,  $N \leq n^2 + n$ , such that

$$\sum_{k=1}^N \lambda_k y_k \otimes x_k = I_n \quad \text{and} \quad \sum_{k=1}^N \lambda_k y_k = 0.$$

If we take  $X = \text{conv}\{\pm x_1, \dots, \pm x_N\} \subseteq L$  and  $Y = \{y \in \mathbb{R}^n; |\langle y, y_k \rangle| \leq 1 \ k = 1, \dots, N\}$   $K_2 \subseteq Y$ , we obtain that

$$vr(K_2; L) = \frac{\text{vol}(K_2)^{\frac{1}{n}}}{\text{vol}(L)^{\frac{1}{n}}} \leq \frac{\text{vol}(Y)^{\frac{1}{n}}}{\text{vol}(X)^{\frac{1}{n}}}.$$

Therefore if we find some upper estimate for  $\text{vol}(Y)$  and lower estimate for  $\text{vol}(X)$  we will obtain some upper estimates for  $vr(K_2; K_1)$ .

**Claim 1:**  $\text{vol}(Y) \leq \frac{2^n}{\sqrt{D_y}}$

Consider  $g_j : \mathbb{R} \rightarrow \mathbb{R}$ ,  $j = 1, \dots, N$ , defined by  $g_j(t) = \chi_{[-1,1]}(t)$ . By using the Brascamp-Liev inequality (see [Bar]) we obtain that

$$\int_{\mathbb{R}^n} \prod_{k=1}^N (g_k(\langle x, y_k \rangle))^{\lambda_k} dx \leq \frac{1}{\sqrt{D_y}} \prod_{k=1}^N \left( \int_{\mathbb{R}} g_k(t) dt \right)^{\lambda_k} = \frac{1}{\sqrt{D_y}} \left( \int_{-1}^1 dt \right)^{\sum \lambda_k}$$

where  $D_y$  was defined in (4). On the other hand, we conclude that

$$\int_{\mathbb{R}^n} \prod_{k=1}^N (g_k(\langle x, y_k \rangle))^{\lambda_k} dx = \int_{\mathbb{R}^n} \chi_Y(x) dx = \text{vol}(Y).$$

Therefore  $\text{vol}(Y) \leq \frac{2^n}{\sqrt{D_y}}$

**Claim 2:**  $\text{vol}(X) \geq 2^n \frac{\sqrt{D_x}}{n!}$

We define for every  $x \in \mathbb{R}^n$

$$N(x) = \inf \left\{ \sum_{k=1}^N |\alpha_k|; \quad x = \sum_{k=1}^N \alpha_k x_k \right\}$$

which is an integrable function that verifies

$$\begin{aligned} \int_{\mathbb{R}^n} e^{-N(x)} dx &= \int_{\mathbb{R}^n} \sup \left\{ \prod_{k=1}^N e^{-\alpha_k^p}; \alpha_k \geq 0, x = \sum_{k=1}^N \alpha_k x_k \right\} dx \\ &= \int_{\mathbb{R}^n} \sup \left\{ \prod_{k=1}^N f_k(\theta_k)^{\lambda_k}; x = \sum_{k=1}^N \lambda_k \theta_k x_k \right\} dx \end{aligned}$$

where  $f_k : \mathbb{R} \rightarrow \mathbb{R}$  is defined by  $f_k(t) = e^{-|t|}$ . Now, if we use the reverse of the Brascamp-Liev inequality (see [Bar]) we can assert that

$$\begin{aligned} \int_{\mathbb{R}^n} \sup \left\{ \prod_{k=1}^N f_k(\theta_k)^{\lambda_k}; x = \sum_{k=1}^N \lambda_k \theta_k x_k \right\} dx &\geq \sqrt{D_x} \prod_{k=1}^N \left( \int_{\mathbb{R}} f_k(t) dt \right)^{\lambda_k} \\ (5) \qquad \qquad \qquad &= \sqrt{D_x} \prod_{k=1}^N 2^{\lambda_k} = \sqrt{D_x} 2^n \end{aligned}$$

where  $D_x$  was defined in (3).

On the other hand, we can compute directly the integral of  $e^{-N(x)}$  by

$$\int_{\mathbb{R}^n} e^{-N(x)} dx = \int_{\mathbb{R}^n} \int_{N(x)}^{+\infty} e^{-t} dt dx = \int_0^{+\infty} e^{-t} \int_{\{N(x) \leq t\}} dx dt.$$

It is easy to check that  $\{x \in \mathbb{R}^n; N(x) \leq t\} = tX$ , for all  $t > 0$ , and hence

$$\int_{\mathbb{R}^n} e^{-N(x)} dx = \int_0^{+\infty} e^{-t} t^n \text{vol}(X) dt = n! \text{vol}(X).$$

So, combining (5) and (3) we conclude the desired lower estimate for  $\text{vol}(X)$  and by using Claim 1, Claim 2 and lemma 3.4 we obtain that

$$vr(K_2; L) \leq n!^{\frac{1}{n}}$$

and hence, the result holds.  $\square$

By using similar arguments we can prove the following result

**Proposition 3.7.** *Let  $K_1, K_2 \subseteq \mathbb{R}^n$  are such that  $K_1$  is a compact set with  $\text{vol}(K_1) > 0$ ,  $p(K_1) = p > 0$  and  $K_2$  is a convex body, then:*

- (1) *If  $K_1$  is symmetric,  $vr(K_2; K_1) \leq \frac{e}{2} (n!)^{\frac{1}{n}} n^{\frac{1}{p}-1}$ .*
- (2) *If  $K_2$  is symmetric,  $vr(K_2; K_1) \leq 2 (n!)^{\frac{1}{n}} n^{\frac{1}{p}-1}$ .*

*Proof.* (1) Take  $\tilde{g}_j(t) = e^t \chi_{(\infty, 1]}(t)$  instead of  $g_j(t)$  in the proof of proposition 3.5.

(2) Take  $\tilde{f}_j(t) = e^{-t} \chi_{[0, +\infty)}(t)$  instead of  $f_j(t)$  in the proof of proposition 3.5 and substitute  $N(x)$  by

$$\tilde{N}(x) = \begin{cases} \inf \left\{ \sum_{k=1}^N \alpha_k; \alpha_k \geq 0, x = \sum_{k=1}^N \alpha_k x_k \right\} & \text{if it exists} \\ +\infty & \text{otherwise.} \end{cases}$$

$\square$

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